Update regarding the OVC FY 2021 Training and Technical Assistance for Human Trafficking Service Providers solicitation.

# March 25, 2021: Second Deadline Extension

The SF-424 and the SF-LLL will be submitted in Grants.gov by 11:59 p.m., eastern time (ET), on **April 16, 2021.** OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification. The full application will be submitted in JustGrants on **April 30, 2021**, **by 11:59 p.m.** ET. To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline.

# February 1, 2021: Deadline Extended

The SF-424 and the SF-LLL will be submitted in Grants.gov by 11:59 p.m., eastern time (ET), on March 29, 2021. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification. The full application will be submitted in JustGrants on April 12, 2021, by 11:59 p.m. ET. To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline.

# February 1, 2021: SF-424 Clarification

In Section F of the SF-424, please include the name and contact information of the individual who will complete the application materials in JustGrants. JustGrants will use this information (email address) to assign the application to this user in JustGrants.

U.S. Department of Justice Office of Justice Programs Office for Victims of Crime



# **OVC FY 2021 Training and Technical Assistance for Human Trafficking Service Providers**

Assistance Listing Number # 16.320

Grants.gov Opportunity Number: O-OVC-2021-63001

Solicitation Release Date: January 14, 2021 9:00 AM

Version: 5

**Grants.gov Deadline:** April 16, 2021 11:59 PM **Application JustGrants Deadline:** April 30, 2021 11:59 PM

#### Overview

The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Office for Victims of Crime</u> (OVC) is seeking applications to provide training and technical assistance to victim service providers supporting victims of all forms of human trafficking throughout the United States. This program furthers the Department's mission by enhancing the field's response to victims of human trafficking.

This solicitation incorporates the OJP Grant Application Resource Guide by reference. The OJP Grant Application Resource Guide provides guidance to applicants for the preparation and submission to OJP of applications for funding. If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.

#### **Solicitation Categories**

This solicitation does not include Solicitation Categories.

#### **Eligible Applicants:**

For profit organizations other than small businesses, Native American tribal organizations (other than Federally recognized tribal governments), Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education, Private institutions of higher education, Public and State controlled institutions of higher education, Small businesses

To advance Executive Order 13929 Safe Policing for Safe Communities, the Attorney General determined that all state, local, and university or college law enforcement agencies must be certified by an approved independent credentialing body or have started the certification process to be eligible for FY 2021 DOJ discretionary grant funding. To become certified, the law enforcement agency must meet two mandatory conditions: (1) the agency's use of force policies adhere to all applicable federal, state, and local laws; and (2) the agency's use of force policies prohibit chokeholds except in situations where use of deadly force is allowed by law. The certification requirement also applies to law enforcement agencies receiving DOJ discretionary grant funding through a subaward. For detailed information on this new certification requirement, please visit <a href="https://cops.usdoj.gov/SafePolicingEO">https://cops.usdoj.gov/SafePolicingEO</a> to access the Standards for Certification on Safe Policing for Safe Communities, Implementation Fact Sheet, and List of Designated Independent Credentialing Bodies.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

Nonprofit organizations that hold money in offshore accounts for the purpose of avoiding paying the tax described in 26 U.S.C. § 511(a) are not eligible to apply.

OVC will consider applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be proposed as subrecipients (subgrantees). The applicant must be the entity that would have primary responsibility for carrying out the award, including administering the funding and managing

the entire program. For additional information on subawards, see the OJP Grant Application Resource Guide.

OVC may elect to fund applications submitted under this FY 2021 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and on the availability of appropriations.

# **Contact Information**

For technical assistance with submitting the **SF-424 and SF- LLL** in Grants.gov, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, at <u>Grants.gov customer support webpage</u>, or email at <u>support@grants.gov</u>. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

For technical assistance with submitting the **full application** in DOJ's Justice Grants System (JustGrants), contact the JustGrants Service Desk at <u>JustGrants.Support@usdoj.gov</u> or at 833-872-5175. The JustGrants Service Desk operates 5 a.m. to 9 p.m. EST Monday - Friday and 9 a.m. to 5 p.m. Saturday, Sunday, and federal holidays.

An applicant that experiences unforeseen Grants.gov or JustGrants technical issues beyond its control that prevent it from submitting its application by the deadline must email the contact identified below **within 24 hours after the application deadline** to request approval to submit its application after the deadline.

For assistance with any other requirements of this solicitation, contact the Response Center by telephone at 800–851–3420 or TTY: 301–240–6310 (hearing impaired only) or by email at <a href="mailto:grants@ncjrs.gov">grants@ncjrs.gov</a>. Response Center hours of operation are 10:00 a.m.–6:00 p.m., ET, Monday through Friday, and 10:00 a.m.–8:00 p.m., ET, on the solicitation closing date.

# **Pre-Application Webinar**

OVC will conduct one pre-application webinar during which OVC staff will review the solicitation requirements and conduct a question and answer session with interested potential applicants. Participation is optional. When the webinar has been scheduled, the details and registration information will be available at <a href="https://ovc.ojp.gov/funding/funding-webinars">https://ovc.ojp.gov/funding/funding-webinars</a>.

#### **Submission Information**

In FY 2021, applications will be submitted to DOJ in a **NEW** two-step process.

<u>Step 1:</u> Applicants will submit an **SF-424 and an SF-LLL** in Grants.gov at <a href="https://www.grants.gov/web/grants/register.html">https://www.grants.gov/web/grants/register.html</a>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal.

Step 2: Applicants will submit the full application including attachments in JustGrants at JustGrants.usdoj.gov.

To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline.

OJP encourages applicants to review, the "How to Apply" section in the OJP Grant Application Resource Guide.

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# **Program Description**

#### Overview

This program provides funding for training and technical assistance to service providers developing, strengthening, or expanding programs for victims of human trafficking, as defined by the Trafficking Victims Protection Act (TVPA) of 2000.

# **Statutory Authority**

22 U.S.C. § 7105(b)(2)

# **Specific Information**

The purpose of this program is to (1) support OVC's human trafficking service provider grantees and their partners in meeting award goals and objectives and (2) provide practitioner-driven, evidence-based training and technical assistance on delivering services to victim service providers. This program will continue the support historically delivered to OVC and OVC human trafficking grantees through the OVC Training and Technical Assistance Center.

An award will be made to an experienced training and technical assistance (TTA) provider to support OVC grantees and their partners in developing and/or strengthening victim service programs for human trafficking survivors. The selected applicant must be able to assist victim service providers on a national scale in strengthening community partnerships for victim identification, referral, and leveraging existing federal, state, local, and private programs and initiatives that trafficking survivors may qualify for. Applicants must demonstrate knowledge of the broad range of services accessed by trafficking victims, evidence-based programming in the human trafficking and related fields, and familiarity with how to support organizations in implementing federal awards. Applicants should explain how the proposed approach incorporates the principles of trauma-informed care, victim-centered and survivor-informed programming, and adult learning. The TTA provider will work closely with OVC, OVC's other human trafficking TTA providers, and other key stakeholders to support the needs of grantees and the broader anti-trafficking field.

The TTA provider is expected to deliver in-depth, proactive, and tailored ongoing support to OVC grantees that provide direct services to victims of human trafficking. Although not expected to provide intensive support to OVC-funded programs with dedicated TTA providers, the applicant should anticipate working closely with other OVC-funded TTA providers to leverage resources and collaboratively support the needs of OVC grantees and the broader anti-trafficking field.

Programmatic activities must include but are not limited to the following:

- 1. Provision of training and technical assistance to OVC grantees implementing human trafficking victim service awards. The TTA provider will deliver intensive proactive and reactive support to recipients of each fiscal year's new human trafficking victim service awards during their first year of implementation (approximately 40–50 awards per fiscal year), as well as ongoing support for organizations implementing existing awards (to include approximately 130 FY 2019–2020 OVC human trafficking grantees).
- 2. Delivery of training and technical assistance on effective victim service programming for trafficking survivors.
- Proactive collaboration with other human trafficking TTA providers to prevent duplication and strengthen the accessibility of resources.
- 4. Support for award data collection and assessment.
- 5. Other activities, as required, to meet the stated goals and objectives.

# Goals, Objectives, Deliverables, and Timeline Goals

The primary program goals are to:

- Strengthen and expand the skills, expertise, and capacity of victim service providers to provide trauma-informed services to victims of human trafficking;
- Increase collaboration between service providers, community partners, and other stakeholders to enhance identification, referrals, and service delivery; and
- 3. Support OVC human trafficking grantees in meeting their award goals and objectives.

#### **Objectives**

The overall objectives are to provide training and technical assistance and subject matter expertise to victim service providers that are developing, expanding, or strengthening victim service programs for victims of human trafficking.

#### Objectives include:

- 1. Providing intensive training and technical assistance to OVC grantees implementing human trafficking victim service awards;
- 2. Developing and disseminating resources and training on service provision and programmatic effectiveness;
- 3. Increasing service providers' expertise and specialized skills;
- 4. Collaborating with other OVC human trafficking TTA providers; and
- 5. Supporting data collection and assessment activities.

#### **Deliverables**

Deliverables include the training and technical assistance provided, measured semiannually by TTA requests received, TTA requests delivered, type of TTA provided, number of persons trained, and other key data points.

The Goals, Objectives, and Deliverables are directly related to the performance measures that demonstrate the results of the work completed, as discussed under Application and Submission Information.

#### **Evidence-Based Programs or Practices**

OJP strongly emphasizes the use of data and evidence in policymaking and program development in criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the OJP Grant Application Resource Guide.

## Information Regarding Potential Evaluation of Programs and Activities

OJP may conduct or support an evaluation of the programs and activities funded under this solicitation. For additional information, see the OJP Grant Application Resource Guide section entitled "Information Regarding Potential Evaluation of Programs and Activities."

# Limitation on Use of Funds (22 U.S.C. § 7105(b)(2))

The following statutory language applies to all awards under this solicitation:

#### 1. Restriction on programs

No funds made available to carry out this chapter, or any amendment made by this chapter, may be used to promote, support, or advocate the legalization or practice of prostitution. Nothing in the preceding sentence shall be construed to preclude assistance designed to promote the purposes of this Act by ameliorating the suffering of, or health risks to, victims while they are being trafficked or after they are out of the situation that resulted from such victims being trafficked.

#### 2. Restriction on organizations

No funds made available to carry out this chapter, or any amendment made by this chapter, may be used to promote, support, or advocate the legalization or practice of prostitution. Nothing in the preceding sentence shall be construed to preclude assistance designed to promote the purposes of this Act by ameliorating the suffering of, or health risks to, victims while they are being trafficked or after they are out of the situation that resulted from such victims being trafficked.

Pursuant to the statute above, OVC requires grantees under this program to agree to the following grant condition:

Recipient certifies that it does not promote, support, or advocate the legalization or practice of prostitution, nor will it use grant funds or program match funds to promote, support, or advocate the legalization or practice of prostitution.

# **Coordinating With Law Enforcement**

OVC strongly encourages all grantees to assist clients in complying with reasonable requests from local, state, federal, or tribal government agencies with the authority to investigate or prosecute trafficking acts. OVC is committed to the elimination of all forms of trafficking in persons, which requires the prosecution of traffickers as a key part of a multifaceted strategy. Victim-centered investigations and prosecutions of traffickers reduce harm and increase safety for our communities while supporting the healing of victims. Notwithstanding, the victim's willingness to collaborate with law enforcement will not be used as a condition of access to any service funded under this award.

# Federal Award Information Awards, Amounts and Durations

#### **Anticipated Number of Awards**

1

# **Anticipated Maximum Dollar Amount of Awards**

\$3.000.000.00

#### **Period of Performance Start Date**

10/1/21 12:00 AM

#### **Period of Performance Duration (Months)**

36

### **Anticipated Total Amount to be Awarded Under Solicitation**

\$3,000,000.00

# **Continuation Funding Intent**

OVC may, in certain cases, provide additional funding in future years to awards made under this solicitation, through continuation awards. OJP will consider, among other factors, OJP's strategic priorities, a recipient's overall management of the award, and progress of award funded work, when making continuation award decisions.

## **Availability of Funds**

This solicitation, and awards (if any are made) under this solicitation, are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by the agency or by law. In addition, nothing in this solicitation is intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

#### **Types of Awards**

OVC expects to make an award under this solicitation as a cooperative agreement, which provide for OJP to have substantial involvement in carrying out award activities. See the "Administrative, National Policy, and Other Legal Requirements" section of the OJP Grant Application Resource Guide.

# **Financial Management and System of Internal Controls**

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. The "Part 200 Uniform Requirements" means the DOJ regulation at 2 C.F.R. Part 2800, which adopts (with certain modifications) the provisions of 2 C.F.R. Part 200. See <a href="OJP Grant Application Resource Guide">OJP Grant Application Resource Guide</a> for additional information.

## **Cost Sharing or Matching Requirement**

A match is not required under this solicitation.

#### Pre-agreement Costs (also known as Pre-award Costs)

See the OJP Grant Application Resource Guide information on Pre-agreement Costs (also known as Pre-award Costs).

#### Limitation on Use of Award Funds for Employee Compensation: Waiver

See the OJP Grant Application Resource Guide information on Limitation on Use of Award Funds for Employee Compensation; Waiver.

# Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

See the OJP Grant Application Resource Guide for information on Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs.

# **Costs Associated with Language Assistance (if applicable)**

See the OJP Grant Application Resource Guide for information on Costs Associated with Language Assistance.

# **Eligibility Information**

For eligibility information, see the title page.

For information on cost sharing or match requirements, see Federal Award Information.

# Application and Submission Information

The following application elements MUST be included in the application submission for an application to meet the basic minimum requirements (BMR) to advance to peer review and receive consideration for funding:

- · Proposal Abstract,
- · Proposal Narrative, and
- · Budget Worksheet and Budget Narrative (web-based form).

See the "Application Elements and Formatting Instructions" section of the OJP Grant Application Resource Guide for information on what happens to an application that does not contain all the specified elements or that is nonresponsive to the scope of the solicitation.

# Information to Complete the Application for Federal Assistance (SF-424)

The SF-424 will be submitted in Grants.gov. The SF-424 is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. See the <a href="OJP Grant Application Resource Guide">OJP Grant Application Resource Guide</a> for additional information on completing the SF-424.

**Intergovernmental Review:** This solicitation ("funding opportunity") **is not** subject to <u>Executive Order 12372</u>. (In completing the SF-424, an applicant is to answer question 19 by selecting the response that the "Program is not covered by E.O. 12372.")

# Standard Applicant Information (JustGrants 424 and General Agency Information)

The Standard Applicant Information section of the JustGrants application is pre-populated with the SF-424 data submitted in Grants.gov. Applicants will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, applicants will need to: add zip codes for areas affected by the project; confirm their Authorized Representative; and verify the organization's legal name and address.

#### **Proposal Abstract**

A proposal abstract (no more than 400 words) summarizing the proposed project including primary activities, products and deliverables, the service area, and who will benefit from the proposed project, will be completed in the JustGrants Webbased form.

### **Proposal Narrative**

The proposal narrative should be submitted as an attachment in JustGrants. The attached document should be double-spaced, using a standard 12-point Times New Roman font; have no less than 1-inch margins; and should not exceed 20 pages. Pages should be numbered and submitted as an attachment. If the proposal narrative fails to comply with these length restrictions, OVC may negatively consider such noncompliance in peer review and in final award decisions.

The following sections must be included as part of the proposal narrative:

#### a. Statement of the problem

This section must:

- Describe the training and technical assistance needs of OVC-funded service providers assisting victims of all forms of human trafficking victims in the United States. Identify challenges and opportunities in victim identification, referral, and provision of a broad range of services. The analysis should address the specific TTA needs of organizations that are new to receiving OVC funding as well as those that have been implementing OVC human trafficking programs for longer periods of time. Applicants may use qualitative and quantitative data to describe the problem, identifying the source of any data or evidence cited.
   Describe how OVC service provider grantees collect data on service delivery, to include common platforms used by
- Describe how OVC service provider grantees collect data on service delivery, to include common platforms used by grantees for case management, as well as usage of client satisfaction data.
   Describe the TTA or resources currently available to victim service providers and the remaining gaps as well as
- 3. Describe the TTA or resources currently available to victim service providers and the remaining gaps as well as ongoing challenges in enhancing the quality and quantity of services available to human trafficking victims. The applicant should provide a detailed analysis of current TTA being offered to OVC grantees specifically and victim service providers in general by other federally funded TTA providers.

## b. Project Design and Implementation

This section must:

Clearly state the goals and objectives of this project. The goals and objectives must align with those stated in the

- Goals, Objectives, and Deliverables section.
- 2. Provide a detailed description of the proposed activities necessary to implement this project and meet the stated goals and objectives. Proposed activities must, at a minimum, address all programmatic activities outlined below:
  - A. Provide proactive training and technical assistance to OVC grantees implementing human trafficking victim service awards. The TTA provider will deliver intensive support to recipients of each fiscal year's new human trafficking victim services awards during their first year of implementation (approximately 40–50 awards per fiscal year), as well as ongoing support for organizations implementing existing awards. Support should include:
    - Conducting routine program consultations and troubleshooting in support of grantees meeting their program goals and objectives;
    - Reviewing policies and standard operating procedures for victim service programs;
    - Sharing information on award requirements, to include federal civil rights requirements;
    - Facilitating structured peer support and mentoring between OVC service provider grantees based on program type and/or discipline;
    - Connecting grantees to relevant resources and partners in the anti-trafficking, victim service, and other fields:
    - · Working with OVC to virtually onboard new grantees;
    - Working with OVC and OVC human trafficking TTA providers to facilitate an annual, 1–3 day OVC human trafficking grantee meeting, either virtual or in person; and
    - · Managing grantee listservs and correspondence.
  - B. Delivery of training and technical assistance on effective victim service programming for trafficking survivors, to include:
    - Developing and disseminating resources and training on victim identification, service provision, community partnerships, and program assessment;
    - Maintaining a consultant database;
    - Providing and maintaining online access to resources for grantees and victim service providers writ large. During the first year, the grantee will work with OVC and other human trafficking TTA providers to determine the needs of the grantee pool and the field and propose a recommended approach for a web presence.
    - Convening subject matter experts in collaboration with OVC to explore gaps, identify opportunities, and propose solutions in provision of services; and
    - Providing training at relevant conferences, through webinars, or through other mechanisms.
  - C. Proactive collaboration with other human trafficking TTA providers to prevent duplication and strengthen the accessibility of resources, to include:
    - Engaging in regular communication with other OVC-funded TTA providers, including participating in regular workgroups and in-person or remote OVC-led TTA provider meetings;
    - Referring victim service providers to other TTA providers as needed;
    - Developing and delivering joint TTA, such as webinars, grantee meeting sessions, etc.; and
    - Working collaboratively with other OVC-funded TTA providers as required by OVC.
  - D. Support for award data collection and assessment including:
    - Assisting grantees with data collection and analysis to increase the quantity and quality of services being delivered to victims of all forms of human trafficking;
    - Disseminating information on engaging in <u>action research</u> to enhance programming, including supporting grantees in collecting and incorporating client satisfaction data to strengthen program performance;
    - Collecting data on TTA and participating in performance management and assessment activities to determine if the program is meeting stated goals and objectives; and
  - E. Other activities, as required, to meet the stated goals and objectives.
- 3. Include a timeline for all the tasks and activities to be completed.
- 4. Link project activities to the requirements of this solicitation and justify the proposed approach.
- 5. Describe the role of project partners.
- 6. Describe how the applicant plans to ensure project activities are trauma-informed, victim-centered, and survivor-informed.
- 7. Explicitly address how the proposed project will assist OVC human trafficking grantees and other service providers as they address the service needs of diverse victims of trafficking.
- 8. OVC works closely with federal partners to ensure resource coordination. Applicants should describe existing federally funded trafficking TTA, and work to ensure that the new application does not duplicate existing TTA currently funded by OVC, the U.S. Department of Health and Human Services, the Office on Violence Against Women, or another federal or state office or agency. If there is currently a federally funded trafficking TTA serving the same or similar target

audience as the applicant's proposal, applicants must document (1) how the new proposal supports different TTA services than those already funded; and (2) describe how these services will be coordinated.

#### c. Capabilities and Competencies

This section must:

- 1. Demonstrate the institutional experience and expertise (or have submitted formal agreements with partners with experience and expertise with the application) to effectively implement the requirements of this solicitation;
- 2. Identify the key individuals and organizations involved in the proposed project;
- 3. Demonstrate that the individuals and organizations identified have the capacity to address the stated need, including human trafficking, victim service, and national training and technical assistance expertise, and can successfully implement the proposed project activities;
- 4. Describe specific roles and responsibilities of all project partners and key staff;
- 5. Demonstrate that project staff have appropriate training and experience to implement project activities as described in the proposal, document plans and position descriptions for staff to be hired, and detail proposed approach to ensuring staff are kept abreast of trends in the anti-trafficking field and in TTA delivery;
- 6. Clearly describe who will implement activities as described in the Project Design and Implementation section; and
- 7. Describe their administrative and financial capacity to manage federal funds. If the applicant has other federal funding to deliver training and technical assistance to human trafficking victim service providers, the applicant must describe the scope of each of these grants and describe how activities and data collection on these projects will be tracked separately to avoid duplication.

#### d. Plan for Collecting the Data Required for this Solicitation's Performance Measures

This section must include the following information:

- 1. A plan for collecting all of the performance measures data required by this solicitation.
- 2. A description of the qualifications of the key staff who will be responsible for collecting data and reporting performance measures in JustGrants.

OJP will require each successful applicant to submit regular performance data that demonstrate the results of the work carried out under the award. The performance data directly relate to the goals, objectives, and deliverables identified under "Goals, Objectives, and Deliverables" in the Program Description.

Award recipients will be required to submit performance measure data and performance reports in JustGrants. Examples of the performance measures questionnaire can be found at <a href="https://ovc.ojp.gov/funding/performance-measures-overview">https://ovc.ojp.gov/funding/performance-measures-overview</a>. Further guidance on the post-award submission process will be provided, if selected for award.

Note: Applicants are **not** required to submit performance data with the application. Rather, performance measures information is included as an alert that successful applicants will be required to submit performance data as part of the reporting requirements under an award.

### **Note on Project Evaluations**

An applicant that proposes to use award funds through this solicitation to conduct project evaluations must follow the guidance under "Note on Project Evaluations" in the OJP Grant Application Resource Guide.

# Goals, Objectives, Deliverables, and Timeline

Applicants will submit the OVC FY 2021 Training and Technical Assistance for Human Trafficking Service Providers programs' goals, objectives, deliverables, and timelines in the JustGrants Web-based form. See the OJP Grant Application Resource Guide for additional information.

# Budget and Associated Documentation Budget Worksheet and Budget Narrative (Web-based Form)

Applicants will complete the JustGrants web-based budget form. See the OJP Grant Application Resource Guide for additional information.

# **Indirect Cost Rate Agreement (if applicable)**

Applicants will submit their indirect cost rate agreement by uploading the agreement as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

Financial Management Questionnaire (including applicant disclosure of high-risk status)

Applicants will download the questionnaire in JustGrants and submit by uploading the completed questionnaire as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

## **Disclosure of Process Related to Executive Compensation**

If applicable, applicants will submit a description of the process used to determine compensation by uploading the document as an attachment in JustGrants. See the "Application Attachments" section of the OJP Grant Application Resource Guide for information.

# Memoranda of Understanding (MOUs) and Other Supportive Documents

#### Service Partner MOUs, Letters of Intent, and Subcontracts/Subgrants

For work to be provided by project partners, the applicant must name the project partner that will provide the work in the proposal narrative and include, as an attachment to the application, a subcontract/subgrant Letter of Intent or MOU describing the commitment from that organization to perform a specific service, and a description of the fee for the service or cost to the grant for the work. If services are to be provided as in-kind match, pro bono, or at no cost to the grant, this must be clearly stated in the MOU, Letter of Intent, or subcontract/subgrant. MOUs, Letters of Intent, and subcontracts/subgrants must include the following: (1) names of the organizations involved in the agreement; (2) scope of the work to be performed under the agreement; (3) duration of the agreement; and (4) estimated cost of services provided describing whether it is a fee-for-service, in-kind match, or no cost. MOUs and Letters of Intent should be submitted as one separate attachment to the application.

### **Additional Application Components**

Applicants will attach the additional requested documentation in JustGrants.

## Research and Evaluation Independence and Integrity Statement

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. Applicants will submit a description of their research and evaluation independence and integrity by uploading the document as an attachment in JustGrants. For additional information regarding demonstrating research/evaluation independence and integrity, including appropriate safeguards, see the <a href="OJP Grant Application Resource Guide">OJP Grant Application Resource Guide</a>.

### **Position Descriptions and Resumes**

Position descriptions and resumes for key positions should be tailored to the applicant to demonstrate the qualifications of staff involved in the project.

#### **Training Plan**

Training plan that describes the proposed approach to providing training to OVC service provider grantees. Applicants should describe how they will leverage existing training and resources, including OVC's foundational online training <u>Understanding Human Trafficking</u>, and how they will coordinate training efforts with other OVC-funded human trafficking TTA providers.

#### **Disclosures and Assurances**

Applicants will complete the following disclosures and assurances.

#### **Disclosure of Lobbying Activities**

Applicants will complete and submit the SF-LLL in Grants.gov. See the OJP Grant Application Resource Guide for additional information.

#### **DOJ Certified Standard Assurances**

See the DOJ Certified Standard Assurances in the OJP Grant Application Resource Guide.

#### Applicant Disclosure of Duplication in Cost Items

Applicants will complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the OJP Grant Application Resource Guide for additional information.

# DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements

Applicants will review and accept the DOJ Certified Certifications Regarding Lobbying; Debarment, Suspension and Other

Responsibility Matters; and Drug-Free Workplace Requirements. See OJP Grant Application Resource Guide.

# Applicant Disclosure and Justification – DOJ High Risk Grantees (if applicable)

If applicable, applicants will submit as an attachment in JustGrants See the <u>OJP Grant Application Resource Guide</u> for additional information. A DOJ High Risk Grantee is a recipient that has received a DOJ High Risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or that is otherwise not responsible.

#### **How to Apply**

Applicants will submit an SF-424 and an SF-LLL in Grants.gov at https://www.grants.gov/web/grants/register.html.

Applicants will submit the full application including attachments in JustGrants at JustGrants.usdoj.gov.

For additional information, see the "How to Apply" section in the OJP Grant Application Resource Guide.

#### **Submission Dates and Time**

The **SF-424** and the **SF-LLL** will be submitted in Grants.gov by 11:59 p.m., eastern time (ET) on April 16, 2021. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

The full application will be submitted in JustGrants on 11:59 p.m., eastern time (ET) on April 30, 2021.

To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline.

# **Application Review Information**

## **Review Criteria**

#### a. Scored Review Criteria

Applications that meet basic minimum requirements will be evaluated by peer reviewers. Applications will be evaluated on how the proposed project/program addresses the following criteria:

- 1. Statement of the Problem/Description of the Issue (25%) evaluate the applicant's understanding of the program/issue to be addressed.
- 2. Project Design and Implementation (35%) evaluate the adequacy of the proposal, including the goals, objectives, timelines, milestones, and deliverables.
- 3. Capabilities and Competencies (25%) evaluate administrative and technical capacity of the applicant to successfully accomplish the goals and objectives.
- 4. Plan for Collecting the Data Required for this Solicitation's Performance Measures (5%) evaluate the applicant's understanding of the performance data reporting requirements and the plan for collecting the required data.
- 5. Budget (5%) evaluate for completeness, cost effectiveness, and allowability (e.g., reasonable, allocable, and necessary for project activities).
- 6. Letters of Support, Letters of Intent, and MOUs from project partners (5%).

#### b. Additional Review Criteria

Other important considerations for OVC include geographic diversity, strategic priorities, available funding, past performance, and the extent to which the Budget Worksheet and Budget Narrative (web-based form) accurately explain project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles.

# **Review Process**

OVC reviews the application to make sure that the information presented is reasonable, understandable, measurable, achievable, and consistent with the solicitation.

Applications submitted under this solicitation that meet basic minimum requirements, will be evaluated for technical merit by a peer review panel(s) in accordance with OJP peer review policy and procedures using the stated review criteria above.

OJP screens applications to ensure they meet the basic minimum requirements prior to conducting peer review. Although specific requirements may vary, the following are common requirements applicable to all solicitations for funding under OJP programs:

- The application must be submitted by an eligible type of applicant.
- The application must request funding within programmatic funding constraints (if applicable).
- The application must be responsive to the scope of the solicitation.
- The application must include all items necessary to meet the basic minimum requirements.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant that has one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

**Important note on FAPIIS:** An applicant, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may take into account not only peer review ratings and OVC recommendations, but also other factors as indicated in this section.

# **Federal Award Administration Information**

#### **Federal Award Notices**

See the OJP Grant Application Resource Guide for information on award notifications and instructions.

## Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions, and all applicable requirements of federal statutes and regulations (including applicable requirements referred to in the assurances and certifications executed in connection with award acceptance).

For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the OJP Grant Application Resource Guide.

# Information Technology (IT) Security Clauses

An application in response to this solicitation may require inclusion of information related to information technology security. See the OJP Grant Application Resource Guide for information on information technology security.

#### General Information about Post-Federal Award Reporting Requirements

In addition to the deliverables described in the Program Description section, any recipient of an award under this solicitation will be required to submit certain reports and data.

<u>Required reports</u>. Recipients typically must submit quarterly financial reports, semiannual progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the OJP Grant Application Resource Guide for additional information on specific post-award reporting requirements, including performance measures data.

# Federal Awarding Agency Contact(s)

For OJP contact(s), see page 2-3.

For contact information for Grants.gov, see page 2.

# Other Information

# Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

See the OJP Grant Application Resource Guide for information on Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a).

## **Provide Feedback to OJP**

See the OJP Grant Application Resource Guide for information on how to provide feedback to OJP.

#### **Performance Measures**

Award recipients will be required to submit performance measure data and performance reports in JustGrants. Examples of the performance measures questionnaire can be found at <a href="https://ovc.ojp.gov/funding/performance-measures-overview">https://ovc.ojp.gov/funding/performance-measures-overview</a>. Further guidance on the post-award submission process will be provided, if selected for award.

## **Application Checklist**

#### OVC FY 2021 Training and Technical Assistance for Human Trafficking Service Providers

This application checklist has been created as an aid in developing an application.

#### What an Applicant Must Do:

Prior to Registering in Grants.gov:

- Acquire a DUNS Number (see OJP Grant Application Resource Guide)
- Acquire or renew registration with SAM (see OJP Grant Application Resource Guide)

To Register with Grants.gov:

- Acquire AOR and Grants.gov username/password (see OJP Grant Application Resource Guide)
- Acquire AOR confirmation from the E-Biz POC (see OJP Grant Application Resource Guide)

#### To Find Funding Opportunity:

- · Search for the Funding Opportunity on Grants.gov
- · Access Funding Opportunity and Application Package (see OJP Grant Application Resource Guide)
- Sign up for Grants.gov email notifications (optional) (see OJP Grant Application Resource Guide)
- · Read Important Notice: Applying for Grants in Grants.gov
- Read OJP policy and guidance on conference approval, planning, reporting available at
   <u>ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm</u> (see <u>OJP Grant Application Resource Guide</u>
   )

#### Overview of Post-Award Legal Requirements:

• Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2021 Awards" in the OJP Funding Resource Center.

#### Scope Requirement:

• The federal amount requested is within the allowable limit(s).

# Eligibility Requirement:

• For profit organizations other than small businesses, Native American tribal organizations (other than Federally recognized tribal governments), Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education, Private institutions of higher education, Public and State controlled institutions of higher education, Small businesses

· Submit SF-424 and SF-LLL in Grants.gov

After SF-424 and SF-LLL Submission in Grants.gov, Receive Grants.gov Email Notifications That:

- · Submission has been received in Grants.gov
- Submission has either been successfully validated or rejected with errors (see OJP Grant Application Resource Guide)

If No Grants.gov Receipt, and Validation or Error Notifications are Received:

Contact Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, at <u>Grants.gov customer support webpage</u>, or email at <u>support@grants.gov</u> regarding technical difficulties (see <u>OJP Grant Application Resource Guide</u>)

Receive email notification to complete application in JustGrants:

· Complete Application in JustGrants

#### **Content of Application Submission:**

The following items are critical application elements required to pass Basic Minimum Requirements review. An application that OJP determines does not include the application elements that must be included in the application submission in order for the application to meet the basic minimum requirements, will neither proceed to peer review, nor receive further consideration.

- · Proposal Abstract
- · Proposal Narrative
  - Statement of the Problem
  - Project Design and Implementation
  - 。 Capabilities and Competencies
  - o Plan for Collecting the Data Required for this Solicitation's Performance Measures
- Budget Worksheet and Budget Narrative (web-based form)

- Information to Complete the Application for Federal Assistance (SF-424) in Grants.gov
- · Standard Applicant Information (SF-424 info from Grants.gov)

**Budget and Associated Documentation** 

- Indirect Cost Rate Agreement (if applicable) (see OJP Grant Application Resource Guide)
- Financial Management and System of Internal Controls Questionnaire (see OJP Grant Application Resource Guide)
- Tribal Authorizing Resolution (if applicable) (see OJP Grant Application Resource Guide)

Additional Application Components

- · Service Partner MOUs, Letters of Intent, and Subcontracts/Subgrants
- · Position Descriptions and Resumes
- Training Plan
- · Research and Evaluation Independence and Integrity (see OJP Grant Application Resource Guide)

Disclosures and Assurances

- Disclosure of Lobbying Activities (SF-LLL) (see OJP Grant Application Resource Guide)
- Applicant Disclosure of Duplication in Cost Items (Pending Applications) (see OJP Grant Application Resource Guide)
- DOJ Certified Standard Assurance (see OJP Grant Application Resource Guide)
- DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements (see OJP Grant Application Resource Guide)
- Disclosure of Process Related to Executive Compensation
- Request and Justification for Employee Compensation; Waiver (if applicable)
- Applicant Disclosure and Justification DOJ High Risk Grantees (if applicable) (see OJP Grant Application Resource Guide)

#### Submit Application in JustGrants:

· Application has been successfully submitted in JustGrants

If No JustGrants Application Submission, Validation, or Error Notifications are Received:

Contact JustGrants.Support@usdoj.gov or 833–872–5175 regarding technical difficulties